Bargen Ddinesig BAE ABERTAWE SWANSEA BAY City Deal		Deal Portfolio Level ace and Approval Plan																																					5.4a
City Deal																																							
Assurance / approval / Reporting	Activity/Product	Primary client	Apr	Vlay Jun	r r	020/20	_	Dec	Jan Feb	Mar	Apr	Jun	Jul		/2022 deg		Dec	Feb	Mar	Apr	VIBIY I I III		-	2/202 deg	Nov 8	Dec	Jan Feb	Mar	Apr	uidy ILIN	Jul	_	3/202 dəç	_	Vov Dec	Jan	Feb	Mar	Comments
Governance				- 1 -		<u> </u>												-			_			1.0															
Meetings																																							
Joint Committee	Meetings	SRO, JC, PoMO		x	x	x	x	x	x x	x		x	x		x x	x x	x	x x	x		,			x	x		x	x			x			x	x		x		Will move to bi-monthly after June 2022. No meetings during pre election period April/May 2022.
Programme Board	Meetings	SRO, PB, PoMO		x	x	x	x	x	x x	x	x	x x	x	x	x x	x x	x	x x	x	x)	x)	(X	x	x	x x	x :	x x	x	x	K X	x	x	x		x x				Monthly meeting.
Economic Strategy Board	Meetings	SRO, ESB, PoMO	+	x	x	x x	хх	x	x x	x	x	x x	x	x	xx	x x	x	x x	x	,	x	x	_	x	x	-	×	x	3	ĸ	x	_	x	3	×	x	$\left \right $	x	Bi-Monthly meeting Meetings every two months. No meetings in pre election period
Joint Scrutiny Committee	Meetings	SRO, JSC, PoMO			x		x	x	x			x		x	x	x	x	x			,	(x		x	x	x			×		x		x	x		x		April / May
Regional Section 151 Officers WG/UKG/PoMO briefing	Meetings Meetings	AB, PoMO SRO, PoMO, WG, UKG	×	x x x	×		x x		x x x		x 1	x x	×	¥	x	_	x x		x	×)	x)		¥	x	_	x	_	x	¥ ,	×	_	×	x		x				Quarterly meeting Monthly meetings
PoMO Team	Meetings	SRO, PoMO	x	x x								_									x)	(X	х	х	хх	x	хх	х	x	K X	x	х	x	x	хх	х	x	x	Fortnightly meetings
PoMO/Project Leads	Meetings	PoMO, Project Leads SRO, WLGA, WG	×	x		хх						x x					x																						Monthly meetings Meetings every two months
WLGA Regional City Deal Directors Strategies, Policies, Processes and Place	Meetings	SRO, WLGA, WG	×	x	.	x	x	x	x	Ц	x	x	-	x	×	x	x	x		x)	(x		x	x	x		x	×	-	x		x	x	-	x		Meetings every two months
Portfolio Business Case	Strategy	PoMO, SRO, PB, JC, WCGIB		x	x	x	x		x	x		Т					x	x x	x							x	x x	x							x	×	x	×	Originally approved by JC in March 2021. Annual update schedule between Dec and March each year. Led by PoMO, updates, consultation and approval by PB and JC prior to submission to WCGIB.
Monitoring and Evalution Plan	Strategy	SRO, PoMO		x	x												,	x x									x x				I					x	x		Reviewed, updated and approved in line with Portfolio Business Case update and approval
Risk and Issue Strategy	Strategy	JC, PB, JSC, PoMO	Π														,	x x	Π		Τ			Π	Τ	Π		T	Τ			x	x	Τ	Τ		Π		Reviewed, updated and approved in line with Portfolio Business Case update and approval
Change Management	Process	JC, PB, JSC, PoMO	11										1				,	x x						11	1		x x								1	x	x		Reviewed, updated and approved in line with Portfolio
Communications and Marketing Plan	Plan	JC, PB, JSC, PoMO	\vdash	+	+	+	\vdash	++	+	H	+	+	+	+	\vdash	+	t.		\vdash	+	+	+	┢	+	+	H		+	+	+	1	1	+	+	+	I.	Ļ	\vdash	Business Case update and approval Reviewed, updated and approved in line with Portfolio
-			+	_		_	_	+	_		-	_	-		_	_	^	^		_	-	_		+	_	H	^ ^	_	_	_	-	-	+		_	ŕ	Ĥ		Business Case update and approval Approved by PB in Feb-22. Awaiting further work with UKG
Gateway Assurance Framework	Process	JC, PB, JSC, PoMO																x									x x									x	x		and WG on feedback prior to JC approval.
Anti-Fraud and Anti-Corruption Strategy	Strategy	JC, PB, JSC, PoMO													x									x									x						Review annually in Sept of each year and approved via governance boards if required
Welsh Language Guidance	Process	JC, PB, JSC, PoMO									1	ĸ												x									x						Review annually in Sept of each year and approved via governance boards if required
Meeting Protocol	Process	JC, PB, JSC, PoMO													x									x									x						Review annually in Sept of each year and approved via
Reporting			<u></u>		<u>.</u>			<u> </u>	_	<u> </u>		_	-L				<u> </u>	<u> </u>					-	<u> </u>							-	4				-			governance boards if required
Highlight Report	Monthly	SRO, PoMO, PB, JC, ESB, JSC		×		, ,			×		Τ,		Т	v	¥	.	.	T _x	¥.	Т,		.	1 v	.	1.	.	.	v	Т,		1	.	1.1	Γ,		1	.	Y	Monthly report summarising achievement, plans and
			^		Ê	~ ^	^	-	_	Ĥ		<u> </u>	-	^	^	-	Â	Ê	Ĥ	-		·	Ê	Ê	Ê	^	-	<u> </u>	+			Ŷ	Â	-			Â	^	risks/issues. No report during Quarterly report month Quarterly monitoring report collated by PoMO and reported
Quarterly Monitoring Reports	Quarterly Report	SRO, PoMO, PB, JC, ESB, JSC			×		x	+	x	-	x	_	x		×	×		×		x		×	-	+	x		×		x	_	×	-		x	+	×	$\left \right $	_	through PB, JC, JSC Quarterly monitoring report collated by PoMO and reported
Integrated Assurance & Approval Plan	Quarterly Report	SRO, PoMO, PB, JC, JSC		x		_	x		x		x	_	x		×	x	,	x		x		x	_	\square	x		x		x	_	x	_		x	_	x			through PB, JC, JSC Updated on a quarterly basis in line with Portfolio Risk Registe
Issues Log	Quarterly Report	SRO, PoMO, PB, JC, ESB, JSC		x	x	_	x	-	x		x	_	x		×	x	,	x		x		x		\square	x		x		x		x	_		x		x			and Covid Impact Assessment
Risk Register	Quarterly Report	SRO, PoMO, PB, JC, ESB, JSC		x	x		x		x		x		x		×	x	3	x				x			x		x		x		x			x		x			Part of Quarterly Monitoring Report
SBCD Dashboard Benefits Register	Quarterly Report	SRO, PoMO, PB, JC, ESB, JSC SRO, PoMO, PB, JC, ESB, JSC	+			_		++			_	_	_			_	Η,	_	_	x		x	_	$\left \right $	×		x		x	_	x	-		x	_	x			Part of Quarterly Monitoring Report Part of Quarterly Monitoring Report
Community Benefits	Quarterly Report Quarterly Report	SRO, POMO, PB, JC, ESB, JSC SRO, PoMO, PB, JC, ESB, JSC	+			-		++			-	-	+			-	t f	x		x x	+	x		++	x		x	_	x	-	x	_	-	x	+	x			Part of Quarterly Monitoring Report
Financial Monitoring	Quarterly Report	SRO, PoMO, PB, JC, ESB, JSC			х		х		x		х		x		×	x	1	x		х		x			x		x		x		x			x		х			Part of Quarterly Monitoring Report
Procurement Pipeline	Quarterly Report	SRO, PoMO, PB, JC, ESB, JSC	μŢ		LТ		ЦГ	ЦТ		Ц				\square	ЦГ	F		x				x		Ц	x						x			x		x			Part of Quarterly Monitoring Report
Construction Impact Assessment Joint Committee Workplan	Quarterly Report Quarterly Report	SRO, PoMO, PB, JC, ESB, JSC SRO, PoMO, PB, JC, ESB, JSC	+	_		_		++			_	_	-			_		_		x	_	x	-	++	x				x	_	x	_	+	x	_	x			Part of Quarterly Monitoring Report Updated every 6 months and taken through JC for approval
Gateway Review Action Plan	Quarterly Report	SRO, POMO, PB, JC, ESB, JSC SRO, PoMO, PB, JC, ESB, JSC				x							x		x		1	x		x		x		x	x		x		x		x			x		x			Gateway 0 recommedation report produced annually in July; approved through governance by Sept and progress reported on a quarterly basis
Regional Audit Action Plan	Quarterly Report	SRO, PoMO, PB, JC, ESB, JSC	x		ΙT			ΙT		IT	x		x	$ \top $	×	×	1	x	ΙT	x		x		١T	x	I T	×	I	x	Γ	x	1	IT	x	Γ	x	11		Audit recommendations provided in April of each year with Quarterly monitoring on progress against actions
Annual Report	Annual	SRO, PoMO, PB, JC, ESB, JSC	x	x x							x	x x												Π					x	ĸx						L			Annual report detailing status and progress made over last 12 months across the Portfolio
Assurance																																							
Level 1 - Functional Assurance (Internal)																																						
Technical, due diligence, data security and financial	Internal procedures	PoMO				Τ	x	Π		Π	Τ	T		Π	×	×		Ι			Ι	×		Π	Τ		×				×		Π		Τ	x			Aligned to PoBC update and Gateway assurance process
Level 2 - Independent	•		• •				<u> </u>											_						4 4							•		<u>.</u>		-	•		-	
OGC Gateway Process	Risk Potential Assessment	SRO, PB, PoMO		×				П										Τ					T	TT		П					T	T	П	Τ	Τ	Γ	Π		SRO appointment in June 2020. RPA submitted
OGC Gateway Review Process	Stage Gate 0 Portfolio Strategic Assessment	SRO, PB, PoMO, JC	$\left \cdot \right $	Ť	x	+	+	$^{++}$	+	\square	+	+	×	\square	+	+	$\uparrow \uparrow$	+	\square	╈	+	×	+	††	+	\vdash	+	\square	+	+	×	+	†	+	+	\vdash	H		Last Gateway Review completed July 2023 Amber / Green rating awarded. Next review mid July 2024
Accounting Officer Review (AOR)	Accounting Officer Review	SRO, PB, PoMO, JC, WCGIB	$^{++}$		ht	Y	x	×	+	H	+		+		\vdash	+	Ħ		H	+	+	+	1	Ħ	+	H	+	H	+			+	$^{++}$	+	+	t	H	H	A one-off exercise to approve Portfolio business case in 2021.
Level 3 - Audit	(AOR)	1.1.1, F B, F 61110, V 6, W 601B				^	1°1	11		1		_	1			_	11	1			_	_	1	11	-	11	_		-	_	1	1	11		_	1		-	All AOR recommendations were completed.
Level 3 - Audit																																							

External Audit	Annual Wales Audit Recommendation Report	AB, PoMO	x x	x			x	x	x				x	x x					x	x	x			Annual Wales led Audit recommendat at JC by Sept each year	ion report with approval
Internal Audit	PCC Annual Audit Recommendation Report	AB, PoMO		x	x	x					x x					×	x						x	Pembrokeshire Council led internal au vear in March with approval at Progra Submission to July JC	

State State <th< th=""><th>City Deal</th><th>Integrated Assurance and A</th><th>Approval Plan</th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th></th<>	City Deal	Integrated Assurance and A	Approval Plan																												
Unit	Assurance / approval / Reporting	Activity/Product	Primary client		Apr May	Jul Jul	2019/20		Jan Feb	Mar Apr May	Jul Jul	2020/2021	nov Dec	Feb	Apr May	Jul Jul	2021	2022 20 22	Jan Dec	Mar	May	Inc Brid	d 30	Jan Nov	Feb Mar	Apr May	Jul Jul	2023/2	024	Jan Feb	Comments
Other Other <	Governance																														
mate mat mate mate <																															
Norm	PDM Programme Board	Meeting	PCC, Partners, PoMO, PB																				x x	x x x	x x	x x :	¢	x	×		
Out of the state Out of the state <th< td=""><td>Project Board</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>x x</td><td>x x x</td><td></td><td></td><td>x x</td><td>x x :</td><td>x x x</td><td>x x</td><td>x x</td><td>x x</td><td>x x</td><td>x x x</td><td>« x ></td><td>x x</td><td>x x</td><td>x x x</td><td>x x</td><td>x x x</td><td>(</td><td>x</td><td>x</td><td></td><td>Partners proposing monthly update meetings initially PCC invited</td></th<>	Project Board								x x	x x x			x x	x x :	x x x	x x	x x	x x	x x	x x x	« x >	x x	x x	x x x	x x	x x x	(x	x		Partners proposing monthly update meetings initially PCC invited
Out of the state Out of the state <th< td=""><td>Pembrokeshire County Council</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>x x</td><td>x x x</td><td>x x</td><td>x x x</td><td>(x x</td><td>x x :</td><td>K X X</td><td>: x x</td><td>x x</td><td>x x</td><td>x x</td><td>x x x</td><td>« x ></td><td>(x x</td><td>x x</td><td>x x x</td><td>x x</td><td>x x :</td><td>(X)</td><td>x x</td><td>xx</td><td>хX</td><td>c monthly meeting</td></th<>	Pembrokeshire County Council								x x	x x x	x x	x x x	(x x	x x :	K X X	: x x	x x	x x	x x	x x x	« x >	(x x	x x	x x x	x x	x x :	(X)	x x	xx	хX	c monthly meeting
Conto Conto <th< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>3</td><td></td><td></td><td></td><td>x x x</td><td>< x x</td><td>x x :</td><td>« x x</td><td>x x</td><td>: x x</td><td>x x</td><td>x x</td><td>xxx</td><td>« x ></td><td>(x x</td><td>x x</td><td>x x x</td><td>x x</td><td>x x :</td><td>(X)</td><td>xx</td><td>x x x</td><td>x x</td><td>PDI board meet weekly</td></th<>								3				x x x	< x x	x x :	« x x	x x	: x x	x x	x x	xxx	« x >	(x x	x x	x x x	x x	x x :	(X)	xx	x x x	x x	PDI board meet weekly
Name Name<			-									x x x	« x x	x x :	K X X	x x	: x x	x x	x x	xx	K X X	« x x	x x	x x x	x x	xx	(X 3	x x	x x x	x x	budget underway. Steering group meet twice a month
Normal Mail								1	x x																						
Image: Note: Note									x x	x x x	x x	x x x	(x x	x x :	« x x	: x x	: x x	x x	x x	xxx	« x >	(x x	x x			xx					
Martine Martin Martine Martine	-												×	x x :	K X X	xx	: x x	x x	x x	xx	ĸ x >	x x	x x	x x x	x x	x x x	« x 3	xx	x x x	x x	We have held the First Collaboration meeting and this will now progress during 2022
and and bind bin											x x	x x	x x x	x x	¢	x x	×	x x	x x	x x	,	ĸ	x	x	x	x	x	x	x	x	Monthly meeting, bi monthly after June 22
State State <th< td=""><td>SBCD Programme Board</td><td>Meeting</td><td>SRO, PB, PoMO</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>x x</td><td>x x x</td><td>x x</td><td>x x :</td><td>x x x</td><td>x x</td><td>x x</td><td>x x</td><td>x x</td><td>x x x</td><td>« x ></td><td>x x</td><td>x x</td><td>x x x</td><td>x x</td><td>* * :</td><td>(x)</td><td>xx</td><td>x x x</td><td>x x</td><td>Monthly Meeting</td></th<>	SBCD Programme Board	Meeting	SRO, PB, PoMO								x x	x x x	x x	x x :	x x x	x x	x x	x x	x x	x x x	« x >	x x	x x	x x x	x x	* * :	(x)	xx	x x x	x x	Monthly Meeting
	Joint Scrutiny Committee	Meeting															x	x		x	,	<	x	x x	x	x	x	x	x	x	Bi monthly meeting
				<u> </u>	+++	++	+		x	+					XX	xx	x	x x	×	x	×	× .	x	x x	×	x	×	x	x	x	Monthly meetings
	PoMO / Project Leads Team Meeting Risk Critical Friends	Meeting Meetings	PoMO, Project Leads PoMO, IA, Proc, Risk				++		x x	x	X X	x x) x	x x x x x x	XX	* * *			x x	x X	X X I	x x)	x x x	xx	x x x	××	XX	x X	x X	x x x	X X	Monthly meetings
Single State Single Sta	Uk and Welsh Gov Policy Workshops																														
Print Prin Pri	Strategies, Policies, Processes and Plans																														
Solution	PDM Business Case		SRO, PoMO, PB, JC, JSC																									X			Business Case Addendum Sept 2023
Note: United ref. Note: N				Jan-20			+		x	×	×	×	4	×	1.	-			-	++	++	-						++			
Norm North	Reporting	1					<u> </u>								1.4.1	*	<u>. </u>		1.4.1	. 12	<u>. </u>	1.41		×		<u>~ </u>	1.4.1	1 1	<u>~ </u>		
Non-	Risk Register	Report	SRO, PMO, PB, JC, JSC	Max 22	TTT					1 1 1																					Partners maintain individual RRs in accrodance with element leads
Mappendig	Project issues log	Report	SRO, PMO, PB, JC, JSC											x x :	< x x	 	x x	x x	* *	x x >	< x >	< x x	x x	x x x	* *	x x x	< x 3	x x :	x x x		Live document (updated monthly at PDM Board meetings)
Same inport	Highlight report	Live document (updated monthly, except on	SRO, POMO, PB, JC, JSC,																												
		guarterly reporting months)	ESB										x x	×	¢ X	x	x x	x	x	xx	x	¢ x	×	xx	xx	x	•	x x	x x		
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $			ESB	Jan-22										x	x	x	1	x	×	3	ĸ	×	x	x		x	×		×	×	Quarterly Report
	Integrated Assurance & Approval Plan	Quarterly Report	SRO, POMO, PB, JC, JSC, ESB	Mar-22										×	×	x	:	x	×	3	۲.	×	×	×		×	×		×	x	Quarterly Report
besk besk Mark besk	Covid Impact Assessment	Quarterly Report	SRO, POMO, PB, JC, JSC,	Jan-22										x	x	x	:	x	x	3	¢	×	x	x		x	x		x	x	Quarterly Report
Omment Omment Nome Nome Nome Andre Nome	Benefits Register	Quarterly Report	SRO, POMO, PB, JC, JSC,	Jan-22															×	,		×	×	×		×	×			×	Quarterly Report
made	Community Benefits	Quarterly Report	SRO, POMO, PB, JC, JSC,																				L Î	Ŷ		<u> </u>			^	<u> </u>	
$ \frac{1}{1000} + $			ESB																×		٢	×	×	x		x	×		×	x	
Control the problem base Control the pr			ESB	Jan-22										x	x	x	1	x	x	3	ĸ	x	x	x		x	×		×	x	Quarterly Report
Autor Autor <th< td=""><td></td><td></td><td>ESB</td><td>Jan-22</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>×</td><td>3</td><td>ĸ</td><td>x</td><td>×</td><td>x</td><td></td><td>x</td><td>x</td><td></td><td>x</td><td>x</td><td>Quarterly Report</td></th<>			ESB	Jan-22															×	3	ĸ	x	×	x		x	x		x	x	Quarterly Report
Under Strategies data security and functional data security andinter data security and functional data security andian	Construction Impact Assessment	Quarterly Report	SRO, POMO, PB, JC, JSC, ESB																	2	۲.	×	×	×		×	×		×	×	Quarterly Report
Tennel adgene, data secondy ad hand Name Pold, Agenet Las N	Assurance																														
Instruction Outer, Specific and Construction Outer, Specific and Constructin Specific and Constructin Spe	Level 1 - Functional Assurance (Internal)																														
Instruction Outer, Specific and Construction Outer, Specific and Constructin Specific and Constructin Spe	Technical, due diligence, data security and	lateraal presentures	DeMO, Designed Land				1 1		11							TT			ПТ							11		1.1			DDM does not have this is place as feasy what this is summarky
Opcigs Assessment Review (PAR) SRO, PB, PARO, JC TBC I	financial Level 2 - Independent	internal procedures	PONO, Plojeci Leau														· · ·			-			^					^			Pow does not have this in place of know what this is contently.
Opcies Gate 9 Pogramme Strategic Assessment SR0, PB, PM0, JC TBC	OGC Gateway Process	Risk Potential Assessment		TBC			1 1		x x						T																
TBC T	OGC Gateway Process								x																						DCA Rating - Amber - Feb-20
Aug SRO, PB, PMO, JC TBC TBC <td>Use Leastway Process</td> <td>Gare o Programme Strategic Assessment</td> <td>SKU, PB, POMU, JC</td> <td>TBC</td> <td></td> <td>x</td> <td>x x</td> <td></td> <td></td> <td></td> <td></td> <td>x x x</td> <td></td> <td>x x</td> <td></td> <td>x</td> <td></td> <td></td> <td>updating the 6 cases in the form of brief addenidums. POM partners have requested POMD provide the narraket for the management cases that applies tas 18000 projects to inclusion. An expension of the second second second second second second tas that the the the provide the narraket of the second second second second second second second second downmance for approximation. The site side a cay whether POMD is a class 0 symmetry the projects are all downing their respective projects, which are all considered to be point Case 3. These are unable of the second second second second second second second second second are all downing their respective projects, which are all considered to be point Case 3. These are all downing the down limit within the abundanced. Distances and the second second second second second second second second second second second second second second second second second Distances and the second second second second second second second Distances and the second second second second second second Distances and the second seco</td>	Use Leastway Process	Gare o Programme Strategic Assessment	SKU, PB, POMU, JC	TBC														x	x x					x x x		x x		x			updating the 6 cases in the form of brief addenidums. POM partners have requested POMD provide the narraket for the management cases that applies tas 18000 projects to inclusion. An expension of the second second second second second second tas that the the the provide the narraket of the second second second second second second second second downmance for approximation. The site side a cay whether POMD is a class 0 symmetry the projects are all downing their respective projects, which are all considered to be point Case 3. These are unable of the second second second second second second second second second are all downing their respective projects, which are all considered to be point Case 3. These are all downing the down limit within the abundanced. Distances and the second second second second second second second second second second second second second second second second second Distances and the second second second second second second second Distances and the second second second second second second Distances and the second seco
Gate 3 Investment Decision SRO/PB /PMU/LC TBC I </td <td>OGC Gateway Process OGC Gateway Process</td> <td></td> <td>the process of drafting the 5 cases in the form of brief addendums. September 23 is the agreed date for the gateway review, this allows for the completion of the WEFO funding and take into consideration the availability of resource during the summer holidays.</td>	OGC Gateway Process OGC Gateway Process																														the process of drafting the 5 cases in the form of brief addendums. September 23 is the agreed date for the gateway review, this allows for the completion of the WEFO funding and take into consideration the availability of resource during the summer holidays.
Operations Review & Benefits SRO.PB. PoMO, UC TBC Image: Control of the state of the	OGC Gateway Process			TBC																											
Level 3 - Audit Audit SR0, PB, PoMO, JC 3 3 3 4 5 6 7 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	OGC Gateway Process						+ T	\square	1	$+ \square$	$-\Box$	\vdash	+ T	$+ \Box$	+ -	+ T	+ -	ЦП	\square	- T	11		\square	11	\square	$+\top$	$+\top$	1.1	$+ \Box$		
Audit Audit SRO, PB, P9MO, JC 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9		Gate 5 Operations Review & Benefits	SKO,PB,P6MO, JC	TBC												11						11						x			PDM Assurance Kewew 25-27 Septmber 2023
	Audit	Aurit	SPO PR PMO IC				T T						— —		TT		Т		T T			<u> </u>				T T		1.1			
		PILLER	210, 10, 1010, 30						IA IA I	wao.nao wao.nao	WAOMAD							×	x x												Annual audit

Bargen Ddinesig Swansea District & Waterfront Digital District BAE ABERTAWE SWANSEA BAY City Deal Integrated Assurance and Approval Plan

			20	22/20	023						2023	/2024	4					
Assurance / approval / Reporting	Activity/Product	Primary client	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Comments
Governance																		
Meetings																		
1	Live document	CCS	х	x	x	х	х	х	x	x	x	x	x	x	х	x	x	Monthly Meeting
, ,	Council Meetings	CCS	х	x	x	х	х	х	x	x	x	x	x	x	х	х	x	Monthly Meeting
UWTSD	UWTSD meetings	UWTSD			х						х		x			x		Quarterly Meeting
Project Partners	Meetings	Partners	х	х	х	х	х	х	х	х	х	x	x	х	х	x	х	Monthly Meeting
Joint Committee	Meetings	SRO, JC, PoMO	х		х		х		х		х		x			х		Monthly Meeting, moving to bi monthly June 2022
Programme Board	Meetings	SRO, PB,PoMO	х	x	x	х	х	x	x	x	х	x	x	х	х	x	x	Monthly Meeting
Economic Strategy Board	Meetings	SRO,ESB.PoMO	х		x		х		x		х		x		х		x	Bi monthly
Joint Scrutiny Committee	Meetings	SRO, JSC, PoMO	х		x		х		x		х		x		х		x	Bi monthly
PoMO / Project Leads Team Meetin	Meetings	PoMO, Projec Leads	х	x	x	х	х	х	х	x	х	x	x	х	х	х	x	Monthly Meeting
Uk and Welsh Gov Policy Workshop	Meetings	SRO, PoMO																
Risk Critical Friends	Meetings	PoMO, IA, Proc, Risk																
Lessons learned workshop	Meetings	Partners																
Comms group	Meetings	Partners																
Strategies, Policies, Processes an	nd Plans																	
Project business case	Strategy	SRO, PoMO, PB, JC, JSC																
Project Implementation Plan	Plan	SRO, PoMO, PB, JC, JSC																
Reporting																		
Highlight Report	Mothly Report	SRO, PoMO, PB, JC, JSC, ESB		x	x		x	x		x	х		x	х		x	x	monthly apart from when Q Report is due
Risk Register	Regular Report	SRO, PMO, PB, JC, JSC	х			х			x			x			x			Quarterly
Project issues log	Regular Report	SRO, PMO, PB, JC, JSC	х		x		х		x		x		x		х		x	Quarterly
Quarterly Monitoring Report	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB	х			х			х			x			х			Quarterly reporting
Integrated Assurance & Approval Pla	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB	х			х			х			x			х			Quarterly reporting
Benefits Register	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB	х			х			х			x			х			Quarterly reporting
Community Benefits	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB	х			х			х			x			х			Quarterly reporting
Financial Monitoring	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB	х			х			х			x			х			Quarterly reporting
Procurement Pipeline	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB	х			х			х			x			х			Quarterly reporting
Construction Impact Assessment	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB	x			х			x			x			х			Quarterly reporting
Assurance																		
Level 1 - Functional Assurance (In	nternal)																	
Technical, due diligence, data security and financial	Internal procedures	PoMO, Project Lead									x							Annual review

Level 2 - Independent										
OGC Gateway Process	Risk Potential Assessment	SRO, PB, PoMO								
OGC Gateway Process	Project Assessment Review (PAR)	SRO, PB, PoMO, JC								
OGC Gateway Process	Gate 0 Programme Strategic Assessment	SRO, PB, PoMO, JC							(Overall Project BC
OGC Gateway Process	Gate 1 Business Justification	SRO, PB, PoMO, JC								
OGC Gateway Process	Gate 2 Delivery Strategy	SRO, PB, PoMO, JC								
OGC Gateway Process	Gate 3 Investment Decision	SRO, PB, PoMO, JC								Lead deliverer UWTSD: Innovation Matrix/inc. Precinct
OGC Gateway Process	Gate 4 Readiness for Service	SRO,PB,PoMO, JC								
OGC Gateway Process	Gate 5 Operations Review & Benefits	SRO,PB,PoMO, JC							,	Arena lessons learned
Level 3 - Audit										
Audit	Audit	SRO, PB, PoMO, JC								

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SWANSEA BAY City Deal	Integrated Assurance and Approval Plan

Integrated Assurance and Approval Plan

City Dear	integrated Assura	ice and Approval Pla																														
Assurance / approval / Reporting	Activity/Product	Primary client	Apr May Jun		0019/2020 da 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Jan	Feb Mar	Apr May	un la	2020/ Bny Sen		Dec	Jan Feb Mar	Apr May	un Jul		/2022 No ^ Ct	Dec Jan	Feb Mar	Apr May	Jul Aun	2022/202 de Jo O ct		Jan Feb	Mar Apr	May	Inc	2023/ de S		Jan	Feb	Comments
Governance																																
Meetings																																
Project board	Live document	CCS				x	x x	x x	x	x x x	xx	x	x x x	x x x	x x	x x	x x	x x	x x	x x :	x x x	x x	x x	x x	хx	x	x x	x x	x x	x x	x >	Monthly Meeting
City and County of Swansea	Council Meetings	CCS				x	x x																		x x	x :	x x	x x	x x	x x	x >	Monthly Meeting
UWTSD	UWTSD meetings	UWTSD Partners																							_							
Project Partners Joint Committee	Meetings Meetings	SRO, JC, PoMO					x x x x			x x x x x x						x x x x				x x x						x		x x x				Monthly Meeting Monthly Meeting, moving to bi monthly June 2022
Programme Board	Meetings	SRO, PB,PoMO					xx																									Monthly Meeting
Economic Strategy Board	Meetings	SRO,ESB.PoMO				x	x x									x x				x	x	x		x		x	x			x		Bi monthly
Joint Scrutiny Committee	Meetings	SRO, JSC, PoMO					x	x	_	x x			x x	ĸ	x	x	x			x	x	x		x	x	x	x	x	x	x		K Bi monthly
PoMO / Project Leads Team Meeting Uk and Welsh Gov Policy Workshops	Meetings Meetings	PoMO, Projec Leads SRO, PoMO							x	x x x	xx	x	x x x	x x x	x x	x x	x x	x x	x x	x x	x x x	X X	X X	x x	x x	X	x x	x x	x x	x x	x >	Monthly Meeting
Risk Critical Friends	Meetings	PoMO, IA, Proc, Risk				x	x x				x x	x													-				++			-
Lessons learned workshop	Meetings	Partners																											++			
Comms group	Meetings	Partners					x		x	x	:	x	×	x									1									
Strategies, Policies, Processes and Plans																																
Project business case	Strategy	SRO, PoMO, PB, JC, JSC					x													x						:	x		\square			
Project Implementation Plan	Plan	SRO, PoMO, PB, JC, JSC				x		x		x	x	11:	x												1							
Reporting		-																														
Highlight Report	Mothly Report	SRO, PoMO, PB, JC, JSC, ES	B										x x x	x x	x	x x	×	x	x x	x	x x	x	x x	x	×	x	×	x x	x	x	x >	monthly apart from when Q Report is due
Risk Register	Regular Report	SRO, PMO, PB, JC, JSC												x	x		x	x		x	x	x		x	x		x		x	x		Quarterly
Project issues log	Regular Report	SRO, PMO, PB, JC, JSC			x	x	x	x		x x	x		x x	x x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	,	Quarterly
Quarterly Monitoring Report Integrated Assurance & Approval Plan	Quarterly Report Quarterly Report	SRO, PoMO, PB, JC, JSC, ES SRO, PoMO, PB, JC, JSC, ES										-	x	x	x		x	x		x	x	x		x	x		x		x	x		Quarterly reporting
Benefits Register	Quarterly Report	SRO, PoMO, PB, JC, JSC, ES											x	x	×		x	x		x	x	×		x	×		x		x	x		Quarterly reporting
Community Benefits	Quarterly Report	SRO, PoMO, PB, JC, JSC, ES			_								×	x	×		x	×		x	x	×		x	x		x		×	x		Quarterly reporting
Financial Monitoring	Quarterly Report	SRO, PoMO, PB, JC, JSC, ES															_	x		x	x	×		x	×		x		<u>×</u>	x		Quarterly reporting
Procurement Pipeline	Quarterly Report	SRO, PoMO, PB, JC, JSC, ES	B											×	×		x	×		x	×	×		x	×		×		×	×		Quarterly reporting
Construction Impact Assessment	Quarterly Report	SRO, PoMO, PB, JC, JSC, ES	в															L Î		<u>,</u>		- Î		* *	- Î		Ĵ		-	^		Quarterly reporting
Assurance									1 1		1 1		1 1							^	1^1	1 1 1	11	<u>^</u>	Ŷ		1^1			^		Quarterly reporting
Level 1 - Functional Assurance (Internal)																																
Technical, due diligence, data security and financial	Internal procedures	PoMO, Project Lead								x	:					x						x						x				Annual review
Level 2 - Independent									- 1 1 1																				_		<u> </u>	
DGC Gateway Process	Risk Potential Assessment	SRO, PB, PoMO			TT	x	x						TT						x		TT								TT			
OGC Gateway Process	Project Assessment Review (PAR)	SRO, PB, PoMO, JC																									x					PAR assurance review successfully conduct in Sep 2023 with Amber/Green outcome
OGC Gateway Process	Gate 0 Programme Strategic Assessment	SRO, PB, PoMO, JC																				x										Overall Project BC
OGC Gateway Process	Gate 1 Business Justification	SRO, PB, PoMO, JC																														
OGC Gateway Process	Gate 2 Delivery Strategy	SRO, PB, PoMO, JC		ЦŢ		HT			μĘ			$+ \Box$							\square	$- \square$			\square				ЦŢ		+1			
OGC Gateway Process	Gate 3 Investment Decision	SRO, PB, PoMO, JC																														Lead deliverer UWTSD: Innovation Matrix/inc. Precinct. Innovation Matrix currently seeking additional funding (please see Quarterly Report for period)
OGC Gateway Process	Gate 4 Readiness for Service	SRO,PB,PoMO, JC																														Lead deliverer UWTSD: Innovation Matrix/inc. Precinct. Innovation Matrix currently seeking additional funding (please see Quarterly Report for period)
OGC Gateway Process	Gate 5 Operations Review & Benefits	SRO,PB,PoMO, JC																														Arena lessons learned
Level 3 - Audit																																
Audit	Audit	SRO, PB, PoMO, JC				IA	IA IA	IAO/NAO	IAO/NAO																							
	-	-						3 3	3														<u> _</u>			1					I	4

Bargen Ddinesig BAE ABERTAWE SWANSEA BAY									_			_	_		_	_	_	_			_	_						_	_	_	_	
City Deal	Integrated Assurance and A	pproval Plan																														
Assurance / approval / Reporting	Activity/Product	Primary client	Apr May	Jul buk	de D C C C C C C C C C C C C C C C C C C	Jan Feb	Mar Apr May	2 Inf Bny	de 20/202	1 Nov Dec	Feb Mar	Apr May Jun	20	21/2022 dg to 2	Jan Jan	Feb Mar Aor	May	202 Inf Bny	2/2023	Jan Feb	Mar Apr Marr	Jul	2023/2	024	Jan Feb	Mar Apr	May Jun	20 Inf	024/2025	Nov Dec	Jan Feb	Comments
Governance				_												_																
Meetings																																
Project board	Meetings	CCC	TTT	11		x x	* * * *	x x x	x x	x x x	x x	x x x	(x x	x x x	x x	* * *	x x	x x x		x x x	x x x		x x	x x x	xx	x x	x x	x x	x x	x x	x x x	x Monthly meeting
Carmarthenshire County Council	Council Meetings	CCC																														x Monthly meeting
UWTSD	Meetings	UWTSD				хx														x x x												x Monthly meeting
Joint Committee	Meetings	SRO, JC, PoMO				хx				x x x		×		x x x			x		x				x	x	x		x	x	x	x	x D	Monthly meeting, bi monthly after June 2022
Programme Board	Meetings	SRO, PB,PoMO				x x						x x x					x x				x x x				xx	x x	хx	x x	x x			x Bi monthly meeting
Economic Strategy Board	Meetings	SRO,ESB.PoMO					x x x x													x				x				x				x Monthly meeting
Joint Scrutiny Committee	Meetings	SRO, JSC, PoMO					x x	x	x	x x	x	×	c	x x	x	x	x	x	x	x	x	x	x	x	x	x	x		x	x	x	x Bi monthly meeting
Risk Critical Friends	Meetings	PoMO, IA, Proc, Risk						x	x x	x x	x	x	x				^	x)	x					x	x				
Lessons learned workshop	Meetings	Partners				ЦП					\downarrow \downarrow \Box	x	x				x	x	\square)		x				x	x				
Comms group	Meetings	Partners				\square		x x		x	x	x	x				x	x	+		,	x				\square	x	x				
Uk and Welsh Gov Policy Workshops	Meetings	SRO, PoMO						x	x x	x																						
Strategies, Policies, Processes and Plan	s																															
Project business case	Strategy	SRO, PoMO, PB, JC, JSC															x		x	x												Developed in line with Gateway process. Date TBC
Project M & E plan	Plan	SRO, PoMO, PB, JC, JSC								x	x	x x	(X	x	x	x x	x	x	x	x x	x	x	x	x x	x	x	x	x				
Project Implementation Plan	Plan	SRO, PoMO, PB, JC, JSC																														Drafted/updated prior to each business case development stage
Reporting																																
Highlight Report	Monthly Report	SRO, PoMO, PB, JC, JSC, ESB							x	x x	x x	x x	(X	x x	x	xx	x x	x x	x	x x	x	x	xx	x x	x	x	x x	x	x	x x	x	x Monthly Report apart from Q Monitoring
Project risk reg	Bi Monthly Report	SRO, PMO, PB, JC, JSC						x x x			x	x x	(X		^	x x		^	x	^ ^	x	x	^	x x	<u>^</u>	x	x	x	^		x	arrent of the second seco
Project issues log	Bi Monthly Report	SRO, PMO, PB, JC, JSC						x x x	x x	x x		x x	(X	x		x x	-	x	x		x	x		x x	x	x	x	x	x		x	
Quarterly Monitoring Report	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB								x		x	x	x	x	x	-	x	x	x	x	x		x	x	x		x	x		x	Quarterly Report
Integrated Assurance & Approval Plan	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB								×		x	x	x	x			x	x	x	x	x		x	x	x		x	x		x	Quarterly Report
Benefits Register	Quarterly Report Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB SRO, PoMO, PB, JC, JSC, ESB		_			_	_							x				x	x	x	x		x	x	x		x	x		x	Quarterly Report
Community Benefits Financial Monitoring	Quarterly Report	SRO, POMO, PB, JC, JSC, ESB SRO, PoMO, PB, JC, JSC, ESB		_			_	_					x		x			x	x	x	x	x		x	x	x		x	x		x	Quarterly Report
Procurement Pipeline	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB SRO, PoMO, PB, JC, JSC, ESB						_		x		x	x	x	x	x		x	x	x	x	x		x	x	×		x	x		x	Quarterly Report Quarterly Report
Construction Impact Assessment	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB													×	x			x	x	x	x			x	^		x	x		x	Quarterly Report
Assurance																^		<u>^ </u>	1~1_1		1^1	1 1 1		<u>^ </u>	1^1			^	^		<u>^ </u>	dualiteity report
Level 1 - Functional Assurance (Internal Technical, due diligence, data security		PoMO, Project Lead	TTT	11			TTT		111		TTT	11	111				111	11		111		1 1 1	Т		11							Annual Review
and financial	Internal procedures	POMO, Project Lead							×					x					x					×								Annual Review
Level 2 - Independent																																
OGC Gateway Process	Risk Potential Assessment	SRO, PB, PoMO	III														x															RPA Assessment Estimated Date - TBD with SBCD
OGC Gateway Process	Project Assessment Review (PAR)	SRO, PB, PoMO, JC																														
OGC Gateway Process	Gate 0 Programme Strategic Assessment	SRO, PB, PoMO, JC																														
OGC Gateway Process	Gate 1 Business Justification	SRO, PB, PoMO, JC																														
OGC Gateway Process	Gate 2 Delivery Strategy	SRO,PB,PoMO, JC																														
OGC Gateway Process	Gate 3 Investment Decision / Performance Assessment Review (PAR)	SRO,PB,PoMO, JC																						x								Anticipated Gateway 3 date in line with discussions with SBCD and project development
OGC Gateway Process	Gate 4 Readiness for Service	SRO,PB,PoMO, JC																												×		TBC dependent on outcome of Gateway 3
OGC Gateway Process	Gate 5 Operations Review & Benefits	SRO,PB,PoMO, JC												x																		TBC in discussion with SBCD
Level 3 - Audit																																
Audit	Audit	SRO, PB, PoMO, JC				IA IA	WAO/NAO WAO/NAO	MAUNAO		IA																						Annual audit



ANSEA BAY

City Dea

Integrated Assurance and Approval Plan

					20	20/2021						202	1/2022						20	022/20	23					202	23/2024	1			
Assurance / approval / Reporting	Activity/Product	Primary client	Apr May	In In	Aug	Sep Oct	Nov Dec	Jan Feb	Mar	May .	티미	Sep	t of t	Nov Dec	Jan Feb	Mar	Apr May	nn P	Bng	Sep Oct	NoN Sec	Jan 1	Feb Mar	Apr May	Jul Jul	Aug	Set Ma	Dec Nov	Jan	Feb	Comments
Governance																															
Meetings																										-					
Digital Programme Board	Meeting	CCC, PCC, CCS, NPT	×	x	x	x	x	x	x x	(X	x x	хx	x	x x	x x	x	хx	x	x x	x x	x >	x	x x	хx	хx	x	x x	x x	x	x :	x Monthly Programme Board meetings
Carmarthenshire County Council	Council Meeting	CCC	v			x x	~	~																		_					Reports and/or presentations taken to CMT/PEB/EB as appropriate for
	-		^				^	-																		-+					decisions and updates Reports/Business Case taken as appropriate for decision and
Regional Local Authorities	Council Meeting	CCC, SCC, PCC, NPTC			:	x x																									discussion
Joint Committee	Meeting	SRO, JC, PoMO		x x	: :	x x	x x	x x	x		x x	x	×	x x	x x	x		x		x	x	x	x	x	x		x	x	×	:	x Monthly meeting - Highlight reports/Project updates provided as requested. Bi monthly after June 2022
SBCD Programme Board	Meeting	SRO, PB,PoMO	x x	x x	x :	x x	x x	x x	x x	(x	x x	x x	x	x x	x x	x	x x	x	x x	x x	x	x	x x	x x	x x	x :	x x	x x	x	×	x Monthly meeting - Highlight reports/Project updates provided as requested
Economic Strategy Board	Meeting	SRO,ESB.PoMO	x x	x x	x :	x x	x x	x x	x x	(x	x x	x x		x	x	x	x	:	x	x	x	x	x	x	x	:	x	x	x	:	Bi-Monthly meeting - Highlight reports/Project updates provided as requested
Joint Scrutiny Committee	Meeting	SRO, JSC, PoMO	x	x		x	x	x	x	x	x	x		x	x	x	x		x	x	x	x	x	x	x		x	x	x	:	x Meeting every two months - Documentation provided as requested
PoMO / Project Leads Meeting	Meeting	PoMO, Project Leads		x x	x	x x	x x	x x	x x	(X	x x	x x	x	x x	x x	x	x x	x :	x x	x x	x >	x	x x	x x	x x	x	x x	x x	x	x	x Monthly Meetings
UK and Welsh Gov Policy discussions	Meeting	SRO, PoMO	x	x	: :	x x	x		x		x	x		x		x		x		x)	:	x		x		x	x		:	x Several policy meetings have taken place to inform Business Case. Policy discussions ongoing guarterly.
Strategies, Policies, Processes and Plans				1 1			-	1 1		-11-			-		1 1		-	1 1									-1-1		-11		r olicy discussions origining quarterly.
Digital Infrastructure Programme Business Case	Strategy	PoMO, SRO, PB, JC, WCGIB				x	x x																			x					On-going - Latest amendments completed and approved by board in Sept 23.
Reporting								1 1				_					_			_									-11-		06pt 23.
Highlight Report	Monthly Report	SRO, PoMO, PB, JC, JSC, ESB			11		x x	×	x	x	x	x x	1 1	x x	×	x	x	x	x	x	x >		x x	x	x	x	×	x x		×	x Monthly report apart from when Q Monitoring
Programme Risk Register & Issues Log	Monthly Report	SRO, PoMO, PB					x x	x x	x x		x x	_		x x	x x	-	x x		x x		x >	x	x x	x x	x x	x			x		x Risk register and issues log produced and live. Monthly review and amendments ongoing via Digital Programme Board.
Quarterly Monitoring Report	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB						x	×		x		x		x		x		x	x		x		x	x	_	x		x		amenumenta origoing via bigitari rogramme board.
Integrated Assurance & Approval Plan	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB						x	×	(x		x		x		x		x	x		x		x	x		x		x		
Benefits Register	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB													x		x	:	x	x		x		x	x		x		x		
Community Benefits	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB													x		x		x	x		x		x	x		x		x		
Financial Monitoring	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB						x	×	c l	x		x		x		x	:	x	x		x		x	x		x		x		
Procurement Pipeline	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB													x		x	:	x	x		x		x	x		x		x		
Construction Impact Assessment	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB															x	:	x	x		x		x	x		x		x		
Assurance																															
Level 1 - Functional Assurance (Internal)																															
Technical, due diligence, data security and financial	Internal procedures	PLA, Project Leads											x							x							x				Annual Review
Level 2 - Independent																· · ·									·		- 1 - 1				
OGC Gateway Process	Risk Potential Assessment	SRO, PB, PoMO	T	x		x							T			x					T					. T					Reviewed and updated March 2022
OGC Gateway Process	Project Assessment Review (PAR)	SRO, PB, PoMO, JC						1																							DCA rating Amber/Green - Oct-21
OGC Gateway Process	Gate 0 Programme Strategic	SRO, PB, PoMO, JC			++	×				+						t t										_	x		+		Latest review completed October 2023 - DCA rating GREEN/AMBER
OGC Gateway Process	Assessment Gate 1 Business Justification	SRO, PB, PoMO, JC			++					+			+	_		+						+				-+-			+		Recommend next review 18months.
OGC Gateway Process	Gate 2 Delivery Strategy	SRO, PB, PoMO, JC			+								++									+							+		-
OGC Gateway Process	Gate 3 Investment Decision	SRO,PB,PoMO, JC																								-+					
OGC Gateway Process	Gate 4 Readiness for Service	SRO,PB,PoMO, JC											++																+		
OGC Gateway Process	Gate 5 Operations Review & Benefits	SRO,PB,PoMO, JC																													
Level 3 - Audit and risk	Benefits	1		1 1	-1			1 1	1						<u> </u>	1					1 1	1 1				—		1	- I I-		
Audit	Audit	SRO, PB, PoMO, JC		O/NAO																											TBC
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Skills and Talent

Integrated Assurance and Approval Plan

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Assurance / approval / Reporting	Activity/Product	Primary client			202	0/202	1	c 0			_	202	1/202	2	<i>c</i> 0	1 - 1		_	202	22/202	3		~ -	- 13	2		2023/2	2024			~ -	Comments
5			Ap Ma	Juc	Aug	ő	De No	Jai	Ma	Ma	Ju	Aug	80	De No	Jai Fel	Ma	Ma	Ju	Aug	0 O O	Ŝ ĝ	Jai	Ma	Ap	Jur	nr.	Sel	őź	De De	Jai	Ma	
Governance																																
Meetings																																
Project board	Meetings	CCC, PCC, CCS, NPT	хх		_	(X	x x	x x	x x	x	x x	x x	_	x x	x x	x :	x x	x x	x		x x	x	x x	x	(X	x x	x	x x	_	x	x x	Monthly meeting
Carmarthenshire County Council	Council Meetings	CCC	x x				x x	x x	хх	x	хx	хх	· ^	хх	x x	x	хх	~ ^	x	<u> </u>	хх	х	хх	x	(X	хх	x	хх	· ^	x	~ ^	Monthly meeting
RLSP	Meetings	RLSP	x x	_	×	(X	x x	хх	x x	x	x x	x	(X	хх	x x	x :	x x	хx	3	х х	хх	x	хх	x	(X	x	x	xx	x	x	x x	Monthly meeting
Regional LAs	Council Meetings	CCC, SCC, NPTC, PCC	_	x		x																							_			
Project Partners Joint Committee	Meetings	Partners SRO, JC, PoMO	x x		x x	(X	x x	хх	x x	x	хх	хх	(X	хх	x x	x :	хх	хх	X	х х	хх	x	хх	x	(X	хх	x	xx	x	x	x x	Monthly meeting
	Meetings		x x		x x	(X	x x	хх	x		хх	x x	(X	хх	x x	x		x	3	ĸ	x	x	x	3	(x	x	×	۲.	x	×	
Programme Board	Meetings	SRO, PB,PoMO SRO,ESB.PoMO	хх		xx	(X	x x	x x	x x	x	x x	x x	(X	хх	x x	X	x x	x x	X	x x	хx	x	x x	x	(X	x x	x	xx	x x	x	x x	Monthly meeting
Economic Strategy Board	Meetings	SRO, JSC, PoMO	хх	XX	x x	(X	x x	x x	x x	x	X X	хх	(X	x	x		x	x	x	x	x		x	x	x	x		x	x		x	Bi monthly meeting
Joint Scrutiny Committee PoMO / Project Leads Team Meeting	Meetings Meetings	PoMO, Project Leads	x	x x	x	x	x	x	×	•	x	x	x	x	x		x	x	x	x	x		x	x	x	x	-	x	x		x	Bi monthly meeting Monthly meeting
Uk and Welsh Gov Policy Workshops	Meetings	SRO. PoMO	++-	X X	xx	(X	xx	x x	xx	x	X X	x x	(X	X X	x x	X	x x	x x	X	x x	xx	x	x x	x	(X	X X	x	xx	x	x	x x	Monuny meeting
Risk Critical Friends	Meetings	PoMO, IA, Proc, Risk	++		+	~	~ ~			+			+								_	+	-		+		+	-	-		_	
Lessons learned workshop	Meetings	Partners	++	++	++	-	^ X	×	\vdash	+	+	\vdash	+		++	++	+	\vdash	++	+		++	+	⊢⊢	+	\vdash	++	+		\vdash	-	1
Comms group	Meetings	Partners	++	x	,		x	- Î	x	+	-	H	+		+	++	+	\vdash	++	+		++	+	H H		H	+	+				1
Strategies, Policies, Processes and Plans	· · · · · · · · · · · · · · · · · · ·		++	11	ΤŤ	+	T I	1	††	11			+	_			_			_						_	• •					
Project business case	Strategy	SRO, PoMO, PB, JC, JSC	++	11	\mathbf{T}				ΤŤ	11						TT				T		П		П				×			T	
Project Implementation Plan	Plan	SRO, PoMO, PB, JC, JSC	x	x	++	x		x	×		x		x		x		x	x		x		x		x		x	\uparrow	x		x		1
Project M & E plan	Plan	SRO, PoMO, PB, JC, JSC	11	11		+			t t	11			+			t f		ΗŤ	11			+		t t			11			t t		1
Reporting				<u> </u>							_						_						•	· · · ·			• •		•			•
Highlight Report	Monthly Report	SRO, PoMO, PB, JC, JSC, ESE	3	П	П				П							П			П			П		ГТ							T	Monthly report apart from when Q Monitori
-							хx	x	x	x	x	хх	¢ I	хх	x	×	x	x	x	ĸ	хх		хх	, ,	(X	×	x	×	x		xx	takes place
Project risk reg	Bi Monthly Report	SRO, PoMO, PB, JC, JSC,	x	x	×	(x	x	x	x	x	x	(x	x	x	x	x	3	x	x	x	x)	(x	x	x	(х	×	Bi Monthly Report
Project issues log	Bi Monthly Report	SRO, PMO, PB, JC, JSC	x	x	X	<u>د</u>	x	x	x	x	x	x	¢ .	x	x	x	x	x		ĸ	x	x	x	3	(x	x	×	1	х	x	Bi Monthly Report
Quarterly Monitoring	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESE	ł					x	×	r.	x		x		x	1	x	x		x		x		x		x		x		x		Quarterly Report
Integrated Assurance & Approval Plan	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESE	ł					x	×	4	x		x		x	:	x	x		x		x		x		x		x		x		Quarterly Report
Benefits Register	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESE	ł												x	:	x	x		x		x		x		x		x		x		Quarterly Report
Community Benefits	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESE	ł												x		x	x		x		x		x		x		x		x		Quarterly Report
Financial Monitoring	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESE	ł					x	×	r.	x		x		x	3	x	x		x		x		x		x		x		x		Quarterly Report
Procurement Pipeline	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESE	ł												x	:	x	x		x		x		x		x		x		x		Quarterly Report
Construction Impact Assessment	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESE	1													:	x	x		x		x		x		x		x		x		Quarterly Report
Assurance																																
Level 1 - Functional Assurance (Internal)																																
Technical, due diligence, data security and financial	Internal procedures	PoMO, Project Lead	\square		>	¢						x	¢							x							x					Annual review
Level 2 - Independent												_														_						
OGC Gateway Process	Risk Potential Assessment	SRO, PB, PoMO	++		\square	+	ЦЦ		ЦL	x			+			x		\square				++		\square			Щ			Щ		1
OGC Gateway Process	Project Assessment Revew (PAR)	SRO, PB, PoMO																														
OGC Gateway Process	Gate 0 Programme Strategic	SRO, PB, PoMO, JC	╀┼	++	++	+		+	+	+	Ţ	\vdash	+	+		+	+		\mathbb{H}	+	+		-	\mathbb{H}	+	\vdash	Н	+	+	\mathbb{H}	-	Gate 0 undertaken Jan 23 - Green DCA.
OCC Cataviau Brannes	Assessment	SPO PR PoMO IO	++		++	+		_	\square	++	Ĥ	\vdash	+			++		\vdash	\vdash	+	_	L_		\vdash	+	\vdash	++	+		\vdash	_	Assessment .
OGC Gateway Process OGC Gateway Process	Gate 1 Business Justification Gate 2 Delivery Strategy	SRO, PB, PoMO, JC SRO, PB, PoMO, JC	╇	++	++	+	\square	_	\square	++		\square	+			++	-	\square	\square	+	_	++	_	\vdash	+	\square	+	-+		H	_	
OGC Gateway Process OGC Gateway Process	Gate 3 Investment Decision	SRO, PB, PoMO, JC SRO, PB, PoMO, JC	╋╋	++	++	+	\square	+	++	++	+	\vdash	+		\vdash	++	+	\vdash	\vdash	+	_	++	_	\vdash	+	\vdash	++	+		\vdash	+	+
OGC Gateway Process OGC Gateway Process	Gate 4 Readiness for Service	SRO, PB, PoMO, JC SRO, PB, PoMO, JC	╋╋	++	++	+	\square	+	++	++	+	\vdash	+		\vdash	++	+	\vdash	\vdash	+	_	++	_	\vdash	+	\vdash	++	+		\vdash	+	+
OGC Gateway Process	Gate 5 Operations Review &	SRO, PB, POMO, JC SRO, PB, PoMO, JC	╉┼┼	++	++	+	++		⊢┠	++	+	\vdash	+		\vdash	+	+	\vdash	++	+		+	+-	⊢⊢	+	\vdash	++	+	+	++	_	1
Level 3 - Audit	Deselle	I		<u> </u>	<u>1 1</u>				<u></u>	1.1						<u> </u>			<u> </u>			<u></u>					1_1					1
Audit	Audit	SRO, PB, PoMO, JC	WAO/NA WAO/NA	WAO/NA				T				Π							Π			Π	Τ			Π						Annual audit
·																													-			

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Assurance / approval /	Activity/Product	Primary client			2019	9/2020		<u> </u>	1 -				2020/:	2021		<u> </u>	5.	>		2	021/202	22	0 6		<u> </u>	>		20	22/202	3	olc					203	23/202	4			_		
Reporting			Apr	Jul	Aug	õž	Dec	Jar Fet	Ma	Apr	Jur	Jul 2014	Sel	õź	De	Fet	Ma	Ma	Jur	ang	Sel	ŝ	Jar	Fet	Api	Ma	lul Lul	Auç	o O O	ŝ	Jar	Fet	Apr	Ma	n Jul	Auç	DO Do	ŝ	Jar	Feb	Ma	May	Jur
Governance																																					0						
Meetings Project Board	Meetings	CCC & Partners								-		<u>г г</u>	T	- T		-	<u> </u>		r r					T T	-	r r		<u> </u>	-	<u> </u>	-	<u> </u>						r r	—	—	<u> </u>	<u> </u>	—
Steering Group	Meetings	CCC & Painters		+	+	×		X					×	×		×	1	(X	x	×	X		x	x	x		x		x	x	_	x	×		×)	ĸ		+	<u>+</u> +	x x		×
Operational Group	Meetings	CCC		+ $+$	++	×	x	X X	x	XX	x	x ,	x		+	+	x	x		·	x	×	x	++	x	x	×		x	x	+		×	~		, ,	x	x		x		x	-
Communications Group	Meetings	CCC, BYUK, Partners				x		×		×	×	.		-	+ +	-	v	×			×	v	×		×	v	×		*		×	×	×	Ŷ	x x	Ŷ	ŶŶ	Ŷ				x x	
Wellness Hub Workstream	Meetings	CCC & Partners	x	x	x	_	_	x	x	Â	, Î	,		×			x	x		Ì	x	x	x		x	x	x		x	x	x	Ŷ	x	x	x	<u></u> ,	ĸ	x	x		x	×	Ê
Zones 2 & 3 Working Group	Meetings	CCC																																				x	хх	x	x		
Education, Skills and Training	Meetings	CCC, academic partners, health board										x	x	x		x	x	x		c l	x	х	x		x	x	х		x	x		x	x	x	x	3	ĸ		+		_	x	x
Zone 1 Construction Project Board	Meetings	CCC, Bouygues UK, Gleeds																			x	x	хх	х	x x	x	x x	x	хх	x			хх	х	x	x)	к х	x	x	x	x x	х х	
Zone 1 Community Benefits	Meetings & Review of Social Value Strategy	CCC, Bouygues, Education, Health,																						x	x	x	x x	x	x x	x	x x	x	хx	x	x	x	ĸx		x	x	х×	хx	1
Stakeholder Group Joint Committee	Meeting	Community SRO, JC, PoMO		++	++	++	+		1 1	××	×	x .		x -	×	x v	×	+	×		x x	y	x x	1.	×		×		×	×	×		×	++	×	H.		++	+	\vdash	+	+	y
Programme Board	Meeting	SRO, PB, PoMO			++	++			11	x x	x x	x ,	Î	x x	x		x	(x	x		xx		x x		x x	x	x x	x	x x	x	x	x	xx	x	xx	x			+	\vdash	Y	x x	x
Economic Strategy Board	Meeting	SRO, ESB, PoMO								xx	x	x	x	x x	x	x x	x	(x	x		x	Ê		x	x		x	x	x	T I	x	x	x	T T		x	x		+	ΠŤ			x
Joint Scrutiny Committee	Meeting	SRO, JSC, PoMO									x		x	x	:	x	x		x		x	x	x		x		x		x	x	x		x		x)	ĸ						x
PoMO / Project Leads Team Meeting	Meeting	PoMO / Project Leads								xx	(X	x	(X	x x	x	x x	x	ĸ x	x	x x	x x	x	x x	x	x x	x	x x	x	x x	x	x x	x	x x	x	x x	x	хх				>	x x	x
Strategies, Policies, Processes an																																											
Project business case	Strategy	SRO, PoMO, PB, JC, JSC							1			x	x	xx			*																						\Box	Ш			1
Reporting								_											_							_			_							_		_					
Highlight Report	Monthly Report	SRO, PoMO, PB, JC, JSC, ESB												x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	κ	x :	x	x	x	x	x
Project risk reg	Monthly Report	SRO, PoMO, PB, JC, JSC, ESB													x	x x	x	(x	x	x x	x x	x	x x	x	x x	x	x x	x	x x	x	x x	x	x x	x	x x	x	ĸх	x :	x x	x	хx	x x	x
Project issues log	Monthly Report	SRO, PoMO, PB, JC, JSC, ESB													x	x x	x	(x	x	(X	x x	x	x x	x	x x	x	x x	x	x x	x	x x	x	хx	x	x x	x	x x	x	x x	x	х×	x x	x
Quarterly Monitoring Report	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB														x		(< 1	x		x		x		x		x		x		x		x		x		×		×	×	
Intergrated Assurance & Approval P	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB														x	3	(:	(x		x		x		x		x		x		x		x		x		×		×	x	
Benefits Register	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB																					x		x		x		x		x		x		x		x		x		,	x	
Community Benefits	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB																					x		x		×		x		x		x		x		×		×		,	×	Г
Financial Monitoring	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB														x		(x		x		x		x		x		x		x		×		x		×		,	x	Г
Procurement Pipeline	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB																					x		x		x		x		x		x		×		x		×		,	x	Г
Construction Impact Assessment	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB																							x		x		x		x		x		×		x		×			x	П
Assurance														_		_											_				_		-				_						
Level 1 - Functional Assurance (In	nternal)																																										
Technical, due diligence, data security and financial	Internal procedures	PoMO, Project Lead											x								x												x		Γ						x	Γ	\Box
Level 2 - Independent																																											
OGC Gateway Process	Risk Potential Assessment	SRO, PB, PoMO							Π	Т	Τ					Τ		T	ΙT			Π	T	Π	x		T	\square	Τ	\square	Τ		Τ	ΓT		T	Τ	ΙT		IΠ			ıΠ
OGC Gateway Process	Project Assessment Review (PAR)	SRO, PB, PoMO				++	+		+	+	+	++			++	+	++	+	\vdash	+	_	++	+	+		\vdash	+	++	+	++	+	+		++	+	+	+	\vdash	+	\vdash	+	+-'	Н
OGC Gateway Process	Gate 0 Programme Strategic Assessment	SRO, PB, PoMO, JC		++		++			+	+	+	++			++	+	\vdash	+	\vdash	+		++	+	\vdash		\vdash	+	+	+	+	+	\vdash		\vdash	+	+	+	\vdash	+	\vdash	+	+	Н
OGC Gateway Process	Gate 1 Business Justification	SRO, PB, PoMO, JC		++	++	++	+		+	+	+	++			++	+	++	+	\vdash	+		++	+	\vdash		\vdash	+	\vdash	+	\vdash	+	\vdash		++	+	+	+	\vdash	+	\vdash	+	+	Н
OGC Gateway Process	Gate 2 Delivery Strategy/PAR	SRO, PB, PoMO, JC				++			Ħ		\top	\Box	x		+			\top				TT		\square		H								\uparrow	+			T T	+	Ħ	+	+	П
OGC Gateway Process	Gate 3 Investment Decision / Performance Assessment Review (PAR)	SRO, PB, PoMO, JC																						\square										\square						H	T		Π
OGC Gateway Process	Gate 4 Readiness for Service	SRO, PB, PoMO, JC								1			11				11					\mathbf{T}		11					1			11							\top	\square	1	1	
OGC Gateway Process	Gate 5 Operations Review & Benefits	SRO,PB,PoMO, JC																																	Т				T		T	Т	
Level 3 - Audit		1									_					_			<u> </u>		_	-	-	<u>. </u>	_		_				_	<u>. </u>				<u> </u>		<u> </u>		<u> </u>	<u> </u>		_
Audit	Audit	SRO, PB, PoMO, JC	9									Π										Π					Τ								Т				T	Π	Τ	Т	Π
			WAO							×						x																	×										

Bargen Ddinesig I aaf Abertawe Winnsen Bay City Deal	SBCD Campuses Integrated Assurance	e and Approval Plan																											
Assurance / approval / Reporting	Activity/Product	Primary client	Apr May		2020/202		Jan Feb Mar	Apr May Jun		1/2022	Jan Feb	Mar Apr	Jun 1.1		22/2023	Jan Feb	Mar Apr May	Jun	2023/2 Seb 4nd		Jan Feb	Mar Apr	May Jun	2 Inf	024/202 de S		Jan Feb	ັສ ອັ	
Governance																													
Meetings																													
Delivery Team	Meetings	Project Leads	x	x x x	хх	x x	x x x	x x x	x x x	x x x	x x										(X X	хх	x x	x x	x x	x x	хx	x weekely meetings	
Workstream Leads Meeting	Meeting	Project manager and Workstream leads										xx	xx	x x	x x x	x x	ххх	хх	xx	x x x	(x x	хх	хx	x x	x x	xx	хx	x Monthly meeting	
Steering Group (tier I porfolio)	Meeting	Project Leads, Internal Partners	x	x x x	x x	x	x x	x x x	x	x x	x x	x x	3	x	x	x	x	x	3	ĸ	x	x		x	x		x	every 8 weeks	
External Reference Group (project	Meeting	Project Leads, Internal and	x	x x x	хx	x	x x	x x x	x x x	x x x	x x	xx	xx	xx	x x x	(x x	x x x	хx	x x x	x x x	(x	x		x	x		x monthly	
board) City Deal Strategic Oversight Group	Meeting	External Partners PLA			x x	x x	xx	x x		x x	x x	xx	x x x	xx	x x x	(x x	x x x	хx	x x x	x x x	(x x	хx	x x	x x	x x	x x	x x	x Monthly meeting	
CSS Governance	Meeting									x																			
Health Board Governance	Meeting								x																				
SBUHB campuses project Board HDUHB R&I Board	Meeting Meeting	SRO, PL, Internal Partner							x										XXX	K X X		x x	xx	XX	xx	xx	xx	x monthly to be approved	
Scrutiny Panel	Meeting	SRO, PL, Internal Partner SRO, PL, Internal Partner							x																				
Swansea Council Cabinet	Meeting	SRO, PL, Internal Partner							x																				
Arch Board	Meeting	SRO, PL, Internal Partner	LП						x																				
Swansea University - SLT	Meeting	SRO, PL, Internal Partner				\square					+++	++	++	++	+++	+			++	++	+	⊢	\square	+					
Swansea University - SOP Board	Meeting	SRO, PL, Internal Partner	+++	++	+		++	╉┼┼┼	×		+ + +			++	+++	+++		+++	++	++	++	⊢ I –	$\left \cdot \right $						
Swansea University - Finance and Strategy	Meeting	SRO, PL, Internal Partner							x																				
Swansea University Council	Meeting	SRO, PL, Internal Partner								x																			
Joint Committee	Meeting	SRO, JC, PoMO		x x	x	хx	x x x	x x x		x x x			x			x	x	x	x	x	x	x	x	x				 Monthly meeting. August recess. Bi monthly from Monthly meeting. Two meetings in June 2020. A 	
Programme Board	Meeting	SRO, PB, PoMO	×	x x	x	хх	x x x	ххх	x x x	ххх	хх	xx	xx	x x	x x x	ιхх	ххх	хх	xx	K X X	с х х	хх	хх	хх	хx	хx	хx	x cancelled	ugust 2020 meeting
Economic Strategy Board	Meeting	SRO, ESB, PoMO			x x	x x	x x x				x		x)	x	x x	x	x x	x	x	x	x	x	x	x	x		x	x Bi-Monthly meeting	
Joint Scrutiny Committee	Meeting	SRO, JSC, PoMO		x	x	x		x			x		x		x x	x		x	x	x	x	x	x				x	x Meetings every two months	
PoMO/Project Leads Lessons learned workshop	Meeting Meeting	PoMO, Project Leads Partners		x x x		x x	x x x	x x x	x x x	x x x		x x :		x x :		x x	x x x	x x	x x x		x x	x x		x x	x x	x x		x Monthly meeting Quarterly retrospective	
Comms group	Meeting	Partners		~	~	~				× *			x	× ×	× ×	× ×	×		× ,	× ×	^ ×		x	× ×		x	×	Quarterly retrospective Quarterly into delivery phase	
Strategies, Policies, Processes and Pl				<u> </u>	^	^	^				- ^		~	~			~		^	^			^	1 1 2		^	^	Quarterly into delivery priase	
			1 1 1		1 1 1	- I I		1 1 1 1	1.1	1 1 1	1 1 1	11		11		1 1 1	1 1 1		11	11	11	11	1 1	1 1				Aproval from Welsh and U.K. Government recei	red Dec 21. Now
Business Case	Strategy	SRO, PB, PoMO, JC, WCGIB		x x	x x			x x x	x	x x		x)	x	x	x	x	x)	ĸ	x	x		x	x		x	guarterly review and updatee at a stage gate / st	age boundary
Implementation Plan	Plan	SRO, PoMO, PB, JC, JSC		xx						x	x																	Revised plan to be approved by PB Feb 2022	
Reporting																													
Highlight Report	Monthly Report	SRO, PoMO, PB, JC, JSC, ESB				хx	x x			x x						(X			хx	x			x x					x Monthly, aprt from when Q Monitoring Report	
Risk Register Issues Log	Quarterly Report Quarterly Report	SRO, PoMO, PB SRO, PoMO, PB		x	x		x	x x			x x)	x	x	x x	x	x	1		x	x		x	x x		x x	Quarterly Quarterly	
Quarterly Monitoring Report	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB			L ^		x			x	x	x		x	x	x	x	x			x	x		x	x		x	Quarterly	
Intregrated Assurance & Approval Plan	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB		x			x			x	x	x)	x	x	x	x	x	3	ĸ	x	х		x	x		x	June 2020 approval at JC. IAAP review every qu	arter
Benefits Register / tracker	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB									x	x	3		x	x	x	x	3		x	x		x	x		x	Quarterly	
Community Benefits Register Financial Monitoring	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB					*	×			×			x	x	x	x	x	2		x	x		x	x		x	Quarterly	
Procurement Pipeline	Quarterly Report Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB SRO, PoMO, PB, JC, JSC, ESB					×	x	×	x	x	x		x	x	x	x	x	2		x	x		x	x		x x	Quarterly	
Construction Impact Assessment	Quarterly Report	SRO, PoMO, PB, JC, JSC, ESB SRO, PoMO, PB, JC, JSC, ESB									Ê	x		x	x	x	x	x	,	x	x	x		x				Quarterly	
Assurance																													
Level 1 - Functional Assurance (Intern	al)																												
Technical, due diligence, data security	Internal procedures	DI A. Drojent Lond																	Π.					П				Annual review to ensure fit for purpose	
and financial	internal procedures	PLA, Project Leads			×					×					×				,	·					×			Annual review to ensure fit for purpose	
Level 2 - Independent		000 00 0 100	 _ ,									- 1																	
OGC Gateway Process OGC Gateway Process	Risk Potential Assessment Project Assessment Review (PAR)	SRO, PB, PoMO SRO, PB, PoMO	+++	x		\square	x	\mathbf{H}		+++	+	+	++	++	+++	+ $+$ $+$			++	++		⊢⊢	\vdash	+	++	+		SRO appointment in June 2020. RPA submitted	Jan-21
									x																			DCA Green/Amber - Aug-21. Completed	
OGC Gateway Process	Gate 0 Programme Strategic Assessment	SRO, PB, PoMO, JC	T	x	x	T		I I T										(T]]	x	Gate 0 will include key milestones for both sites Morriston) and both phases	(Singleton and
OGC Gateway Process	Gate 1 Business Justification	SRO, PB, PoMO, JC		x																								monisteri) and but i pridatea	
OGC Gateway Process	Gate 2 Delivery Strategy	SRO,PB,PoMO, JC																											
OGC Gateway Process	Gate 3 Investment Decision	SRO,PB,PoMO, JC																						×				Part of Gate 0 review for Singleton site. Due to o agreement. Gateway review to be postponed 6 n estimated summer 2024	elay in funding nonths. Review
OGC Gateway Process	Gate 4 Readiness for Service	SRO,PB,PoMO, JC																									x	Part of Gate 0 review for Singleton site completion	on of build
OGC Gateway Process	Gate 5 Operations Review & Benefits	SRO,PB,PoMO, JC																									x		
PoMO Project Health Check	Health Check	SRO, PB, PoMO, JC																	x									Health check on entire project? Internal by City of	leal.
Level 3 - Audit						- · · ·									I														
Audit	Audit	SRO, PB, PoMO, JC	WAO/NAO WAO/NAO	MAUMAU			1A 1A 14	WAO/NAO WAO/NAO WAO/NAO			A I	IA WAO/NAO	WAO/NAO				WAO/NAO WAO/NAO	WAO/NAO				WAO/NAO	WAO/NAO WAO/NAO					Wales Annual Audit	

Bargen Ddinesig BAE ABERTAWE SWANSEA BAY City Deal	HAPS Project Integrated Assurance and Ap
Assurance / approval / Reporting	Activity/Product
Governance	
Meetings	
HAPS Regional Project Board	Meeting
HAPS Regional Technical / Advisory group	Meeting
Neath Port Talbot Council - RSD	Council Meeting
SBCD Joint Committee	Meeting
SBCD Programme Board	Meeting
SBCD Economic Strategy Board	Meeting
SBCD JSC	Meeting
PoMO / Project Leads Team Meeting	Meeting
Strategies, Policies, Processes and Plans	
Project Business Case	Strategy
Project Implementation Plan	Plan
Monitoring & Evaluation Plan	Plan
Project Communications Strategy	Strategy
Reporting	
Highlight Report	Monthly Report
Project risk register	Bi Monthly Report
Project issues log	Bi Monthly Report
Quarterly Monitoring Report	Quarterly Report
Intregrated Assurance & Approval Plan	Quarterly Report
Benefits Register	Quarterly Report
Community Benefits	Quarterly Report
Financial Monitoring	Quarterly Report
Procurement Pipeline	Quarterly Report
	Quarterly Report
Construction Impact Assessment	
Assurance	
Level 1 - Functional Assurance (Internal)	
Technical, due diligence, data security and financial	Internal procedures

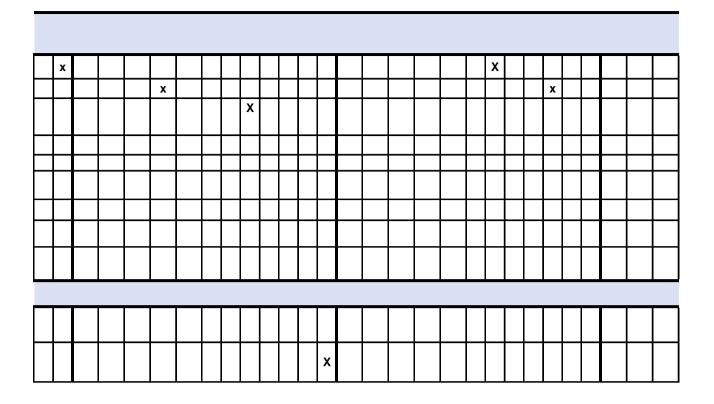
Level 2 - Independent	
OGC Gateway Process	Risk Potential Assessment
OGC Gateway Process	Project Assessment Review (PAR)
OGC Gateway Process	Assurance of Action Plan (AAP
OGC Gateway Process	Critical Friend Review (CFR)
OGC Gateway Process	Gate 0 Programme Strategic Assessment
OGC Gateway Process	Gate 1 Business Justification
OGC Gateway Process	Gate 2 Delivery Strategy
OGC Gateway Process	Gate 3 Investment Decision
OGC Gateway Process	Gate 4 Readiness for Service
OGC Gateway Process	Gate 5 Operations Review & Benefits
Level 3 - Audit	
External Audit	
Internal Audit	

proval Plan

					2020	/20	21										2021	/20	22			_
Primary client	Apr	May	Jun	lul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	
RO,CCC, PCC, CCS, NPT						x		x		x	x	x	x	x	x				x			T
CCC, PCC, CCS, NPT &						Â		^		Ê		^	^	^	^ _				^			_
Ptnrs										x												
NPT	х	x	x	x	x	х	х	x	х	х	х	X	х	Х	х	х		x	x		х	
SRO, JC, PoMO	х	X	x	x	x	х	х	X	х	х	х	x			X			х		х		
SRO, PB,PoMO SRO,ESB.PoMO	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	x	X	х	X	-
SRO, JSC, PoMO	x	X X	x	x x	x	x x	х	x x	х	x x	х	x x	x	X	x x	x	x	x	x	х	x	-
PoMO, Project Leads	x	x	x	x	x	x	х	x	х	x	х	x	х	х	x	x	х	x	х	x	x	
			1										~		~							
SRO, HAPS PB, PoMO, PB,JC,JSC				x		x		x		x			x		x	x						
RO, HAPS PB, PoMO, PB,																					_	_
JC, JSC				x		x		x		x	x		x		x				x	x	x	
RO, HAPS PB, PoMO, PB, JC, JSC							x		x						x	x				x	x	
RO, HAPS PB, PoMO, PB,								x				x		x		x	x				x	
RO, HAPS PB, PoMO, PB, JC, JSC, ESB								x	x		x	x		x	x		x	x		x	x	
RO, HAPS PB, PoMO, PB, JC, JSC, ESB				x		x		x	x	x						x		x		x	x	-
RO, HAPS PB, PoMO, PB, JC, JSC, ESB				x		x		x		x		x	x	x	x	x	x	x	x	x	x	-
RO, HAPS PB, PoMO, PB, JC, JSC, ESB										x			x			x			x			-
RO, HAPS PB, PoMO, PB, JC, JSC, ESB										x			x			x			x			
RO, HAPS PB, PoMO, PB, JC, JSC, ESB																						-
RO, HAPS PB, PoMO, PB, JC, JSC, ESB																						-
SRO, HAPS PB, PMO, PB,										x			х			x			x			
RO, HAPS PB, PoMO, PB,																						
RO, HAPS PB, PoMO, PB, JC, JSC, ESB																						
				_							_							-	_			٦

SRO, PB, PoMO		х										
SRO, PB, PoMO		х										
SRO, PB, PoMO												
SRO, HAPS PB, PoMO, PB,												i i
SRO, PB, PoMO, JC												
SRO, PB, PoMO, JC												
SRO,PB,PoMO, JC												
SRO,PB,PoMO, JC												
SRO,PB,PoMO, JC												
SRO,PB,PoMO, JC												
SRO, HAPS PB, PoMO, PB, JC, JSC												
SRO, HAPS PB, PoMO, PB, JC, JSC												x

						2022	2/20	23										2023	8/20	24								
Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
	x	x		x			x			x				x		x				x			x			x		x
				x			x			x			x			х			x			x			x			x
	X			X		х		X		X			X		х		X		x		X		х				х	
x	x x	х	x	X x	x	x	x x	x	X X	x	X X	х	x x	х	X X	x	X X	x	x x	v	x x	x	x x	x	x x	x	x x	
x	^	×	^	x	^	x	^	^ X	^	×	^	^ X	^	x	^	x	^	x	Ê	X X	^	×	^	x	^	x	^	X X
				x			х		x		х				х		х		х		х		х				x	
х	X	x	x	X	Х	х	X	X	X	X	X	х	X	х	Х	Х	Х	х	х	Х	x	X	х	х	X	х	х	X
				x			x			x			x			x			x			x			x			x
x	x	x		x		x		x		x		x		x		x		x		x		x		x		x		x
	x	x			x			x			x			x			x			x			x			x		
x	x	x			x			x			x			x			x			x			x			x		
																			<u> </u>					<u> </u>				
																			1					1				
x	x		x	x		x	x		x	x		X	x		x	x		x	x		x	x		x	x		x	x
x	x	x		x		x		x		x		X		x		x		x		x		x		x		x		x
x	x	X			x			X			X			x			X			X			X			X		
		x x			x x			x x			x x			x x			x x			x x			x x			x x		
		×			×			×			×			x			x			×			^ x			x		
		×			×			^ x			^ X			×			×			^ x			^ X			×		
		x			x			x			x			x			x			x			x			x		
		x			х			x			х			х			х			х			х			х		
		x			x			x			x			x			x			x			x			x		
						•												•	•					•				
	x	x		x			x			x			x	x		x			x			x			x	x		x



	2024							
Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
			x			x		
		x			x			x
X		х		х		X		
X		x		х			х	
x	X	X	X	х	X	X	X	x
x	X	х	X	х	X	х	X	
X	х	x	х	x	х	x	х	х
		x			x			x
	x		x		x		x	
x			x			x		
x			x			x		
	x	x		x	x		x	x
	х		x		x		x	
x			x			x		
x			x			x		
x			x			x		
x			x			x		
x			x			x		
x			x			x		
x			х			х		
x			x			x		
		x			x			x

		Х			
	Χ				

Comments

Bi- Monthly meetings

To be established.

Monthly meetings

Bi monthly from June 2022

Monthly meetings

bi monthly meetings

bi monthly from June

Monthly meetings

OBC approved July 2021, will be revised and updated where approporiate on a six monthly basis

Regular review / update - internal project planning document

Regular review

Regular review

Monthly (highlight), apart from when Q Monitoring Report

Reviewed bi-monthly and updated if appropriate

Regular Review

Quarterly Report

Quarterly Report

To review / monitor / update on a regular basis

regular review

regular review

regular review

regular review

Delivery Confidence Assessment (DCA) Amber rating - June-20.

PAR Review carried out July 22 - Amber/Red rating,

AAP of PAR carried out Nov 22 - Amber rating

твс

Discussions to be held with SRO after PAR is held in July with regards to arranging future Gateway Reviews

твс

Discussed with NPT Audit Team, awaiting schedule.

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Bargen Ddinesig BAE ABERTAWE SWANSEA BAY City Deal

Supporting Innovation and Low Carbon Growth Integrated Assurance and Approval Plan

Assurance / approval / Reporting	Activity/Product	Primary client		
	//oli/lig/11000001		Apr	May
Governance				
Meetings				
SILCG Programme Board	Meeting	SRO, Partners, PoMO		
Project Working Group - BTC	Meeting	NPT, Partners	х	x
Project Working Group - SWITCH	Meeting	NPT,SU, Partners	х	х
Project Working Group - Decarb	Meeting	NPT, Partners	х	x
Project Workking Group - AMPF	Meeting	NPT, Partners	х	x
Neath Port Talbot Council - RSD Board	Council Meeting	NPT	х	х
SBCD Joint Committee	Meeting	SRO, JC, PoMO		
SBCD Programme Board	Meeting	SRO, PB,PoMO		
SBCD Economic Strategy Board	Meeting	SRO,ESB.PoMO	х	х
SBCD Joint Scrutiny Committee	Meeting	SRO, JSC, PoMO		
PoMO / Project Leads Team Meeting	Meeting	PoMO, Project Leads	х	х
Strategies, Policies, Processes and Plans				
SILCG Programme Business Case	sine	SRO, SILCG PB, PoMO, PB, JC. JSC		
Programme Implementation Plan	Plan	SRO, SILCG PB, PoMO, PB, JC, JSC		
Monitoring & Evaluation Plan	Plan	SRO, SILCG PB, PoMO, PB, JC, JSC		
Programme Communications Strategy	Plan	SRO, SILCG PB, PoMO, PB, JC, JSC		
Reporting				
Highlight Report	Monthly Report	SRO, SILCG PB, PoMO, PB, JC, JSC, ESB		
Programme Risk Register	Regular Report	SRO, SILCG PB, PoMO, PB, JC, JSC		
Programme Issues Log	Regular Report	SRO, SILCG PB, PoMO, PB, JC, JSC		
Quarterly Monitoring Report	Quarterly Report	SRO, SILCG PB, PMO, PB, JC, JSC, ESB		
Intregrated Assurance & Approval Plan	Quarterly Report	SRO, SILCG PB, PoMO, ESB		
Benefits Register	Quarterly Report	SRO, SILCG PB, PoMO, PB, JC, JSC, ESB		
Community Benefits	Quarterly Report	SRO, SILCG PB, PoMO, PB, JC, JSC, ESB		

Financial Monitoring	Quarterly Report	SRO, SILCG PB, PoMO, PB, JC, JSC, ESB	
Procurement Pipeline	Quarterly Report	SRO, SILCG PB, PoMO, PB, JC, JSC, ESB	
Construction Impact Assessment	Quarterly Report	SRO, SILCG PB, PoMO, PB, JC, JSC, ESB	
Assurance			
Level 1 - Functional Assurance (Internal)			
Technical, due diligence, data security and financial	Internal procedures	SRO, SILCG PB, PoMO, PB, JC, JSC	
Level 2 - Independent			
OGC Gateway Process	Risk Potential Assessment	SRO, PB, PoMO	
OGC Gateway Process	Performance Assessment Review (PAR)	SRO, PB, PoMO, JC	
OGC Gateway Process	Critical Friend Review (CFR)	SRO, HAPS PB, PoMO, PB, JC, JSC	
OGC Gateway Process	Gate 0 Programme Strategic Assessment	SRO, PB, PoMO, JC	
OGC Gateway Process	Gate 1 Business Justification	SRO, PB, PoMO, JC	
OGC Gateway Process	Gate 2 Delivery Strategy	SRO,PB,PoMO, JC	
OGC Gateway Process	Gate 3 Investment Decision	SRO,PB,PoMO, JC	
OGC Gateway Process	Gate 4 Readiness for Service	SRO,PB,PoMO, JC	
OGC Gateway Process	Gate 5 Operations Review & Benefits	SRO,PB,PoMO, JC	
Level 3 - Audit			
External Audit		SRO, SILCG PB, PoMO, PB, JC, JSC	
Internal Audit		SRO, SILCG PB, PoMO, PB, JC, JSC	
Risk Critical Friends		SRO, SILCG PB, PoMO, PB, JC, JSC	

		2020	/20	21										2021	/20	22										2022	2/20	23
Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct
			<u> </u>	<u> </u>		·	<u> </u>	<u> </u>	<u> </u>	·					·		<u> </u>	<u> </u>		<u> </u>					<u> </u>			
			x		x		x		x	x	x	x				x	x		x		x	x	x	x				
x	x	x	х	x	х	x	х	х	х	x	x	x	х	x	x	x	x	х	х	х	x	x	x	x	x	х	x	x
x	x	X	х	x	х	x	х	х	х	x	X	x	х	X	x	x			х	х	x	x	X	X	X	x	x	x
X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	х	х	X	X	X	X	X	X	X	X	x
x x	x x	X X	x x	X X	X X	x x	x x	x x	X X	X X	X X	X X	X X	X X	x x	x	х	x	х	X X	x	x x	X X	X X	X X	X X	x x	x
							х	х	х			х	х	x	х	x	x		х	х	x			х			x	
		~	~		×	~	×	X		X	X	X	X	X	X	X	x		х	x x	x	X	X	X	X	X	X	X
x	x	X	x	X	X	x	x	x	X	X	X X	X	X X	Х	х	X	х	x	х	×	х	X		X X		X	x	x
x	X	Х	х	х	х	х	х	х	х	X	X	Х	х	Х	х	x	х	х	х	х	x	x	X	X	X	Х	х	x
										-																		
					x		x					x	x	x														
			x		x		x	x	x	x	x	x	x	x	x	x	x	x	x		x	x	x	x	x	x	x	x
			x		x			x				x	x			x		x			x			x	x			x
			x		x			x				х				x		x			x			x				x
					x	x		x	x		x	x		x	x		x	x		x	x		x	x		x	x	
					x	x	x	x	x			х	x			x			x		x			х	х			x
					x	x	x	x	x		x	x	x			x	x	x	x		x		x	x	x			x
							x			x			x			x			x			x			x			x
							x	x	x	x	x	x	x			x	x	x	x		x	x	x	x	x			x
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Mar	Comments	
2		
x	quarterly meetings	
x	Monthly meetings	
x	Monthly meetings	
x	Monthly meetings	
	Monthly meetings	
x	Monthly meetings	
	Monthly meetings, bi-monthly after June 2022	
Х	Monthly meetings	
	Bi-monthly meetings	
	Monthly meetings	
X	Monthly meetings	
	PBC approved Aug 2021, update to PBC	
	starting in 2024 with PB sign off scheduled at	
	June 2024 meeting	
x	Regular review	
x	Regular review	
x	Regular review	
x	Monthly report apart from when Quarterly Monitoring	
x	Regular review	
x	Regular review	
	Quarterly review	
x	Regular review	
	Quarterly review	
	Quarterly review	
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	Quarterly review	
	Quarterly review	
	Quarterly review	
x	To plan with NPT internal audit for 2022	
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	Meeting with WG Jan 2022	
	DCA Amber rating - June-20.	
	Part of BC approval process. All recommedations complete	
	Assessent meeting with PoMO & WG Jan 2022. Gate 0 timed to co-incide with key decision points for projects. Provisional scheduling shown for 2023 - to be agreed following Sep 22 review.	
	Delivery Strategy for AMPF and PDF	
	Investment decision for SWITCH Sep 22. Investment decision for AMPF June 23	
	Review and benefits for Technology Centre	
	PAR to be arranged (Nov 2024)	
	Discussions held with NPT internal audit team to be held in October 2022	
	PoMO to advise	